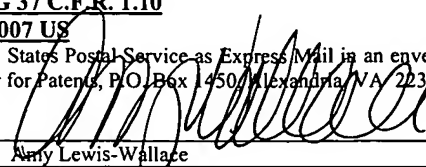


<b>CERTIFICATE OF MAILING 37 C.F.R. 1.10</b>	
<b>LABEL # EV 342457007 US</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope on the date indicated, addressed to Mail Stop New Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.	
December 9, 2003	 Amy Lewis-Wallace
Date	

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:	)	
Purkey	)	Confirmation No.
	)	
Serial No.: CON of 09/938,796	)	Examiner:
filed August 24, 2001	)	
	)	
Filed: Herewith	)	Group Art Unit:
	)	
Title: Capacitor-Based Powering System and Associated Methods	)	Attorney Docket No. 066259.010
	)	

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

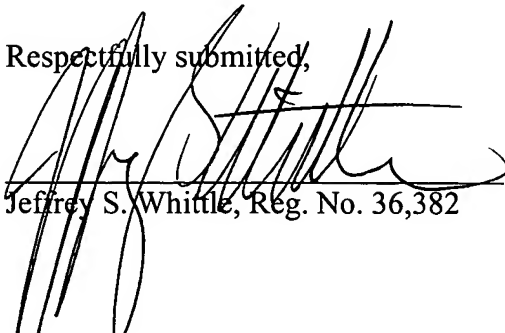
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Attached is Form PTO-1449A listing several references for consideration in the examination of the above-identified application. A copy of each reference is also enclosed. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the M.P.E.P.

This Information Disclosure Statement is being filed before issuance of the first Office Action and therefore no fee is required. In the event a fee is required, the Commissioner is hereby authorized to charge Bracewell & Patterson, L.L.P.'s Deposit Account No. 50-0259 (Attorney Docket No. 066259.010).

Respectfully submitted,

  
Jeffrey S. Whittle, Reg. No. 36,382

Date: December 9, 2003

BRACEWELL & PATTERSON, L.L.P.  
P.O. Box 61389  
Houston, Texas 77208-1389  
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Facsimile: (713) 221-2141

Substitute for form 1449A/PTO

*Complete if Known***INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)***Application Number****Filing Date****First Named Inventor**

Purkey

**Art Unit****Examiner Name**

Sheet

of 2

**Attorney Docket Number**

066259.010

**U.S. PATENT DOCUMENTS**

Exr Initials*	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appear
	Number- Kind Code (if known)			
	US-4,004,208	01/1977	Tamminen, Pentti Juuse	
	US-4,412,137	10/1983	Hansen et al.	
	US-4,492,912	01/08/1995	Nowakowski	
	US-4,494,162	01/15/1985	Eyler	
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	US-4,723,079	02/1988	Norton, Peter	
	US-4,985,671	01/1991	Sauer, Gerd	
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	US-5,093,583	03/1992	Mashino et al.	
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	US-5,155,374	10/1992	Shirata et al.	
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	US-5,166,538	11/1992	Norton, Peter	
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	US-5,321,389	06/14/1994	Meister	
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	US-5,488,283	01/1996	Dougherty et al.	
	US-5,563,454	10/1996	Araki et al.	
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	US-5,818,115	10/1998	Nagao, Yasuhiro	
	US-5,925,938	07/20/1999	Tamor	
	US-5,970,937	10/1999	Casellato et al.	
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	US-6,075,331	06/2000	Ando et al.	
	US-6,202,615	03/2001	Pels et al.	
	US-6,242,887	06/05/2001	Burke	
	US-6,325,035	12/2001	Codina et al.	
	US-6,362,595	03/2002	Burke, James	
	US-6,371,067	04/2002	Schmitz et al.	
	US-6,426,606	07/2002	Purkey	

Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			Application Number		
			Filing Date		
			First Named Inventor		
			Art Unit		
			Examiner Name		
Sheet	of 2		Attorney Docket Number		
	US-6,481,406	11/2002	Pels, Thomas		
	US-6,497,209	12/2002	Karuppana et al.		
	US-H0,001,172	04/1993	Gorniak, Allen		
<b>FOREIGN PATENT DOCUMENTS</b>					
Exr Initials*	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Exr Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T <sup>2</sup>
	Goodall Mfg. LLC "Charge-All Wheel Type Battery Chargers" Brochure, p. 19 Circa December 2000; Eden Prairie Minn.				
	IntraUSA, "The Intra Switch" Brochure; August 2000				
	IntraUSA, "Intra Switch: Superior Cost Performance" Brochure; August 2000				
	Kbi/Kodban Int'l. Ltd., "KAPower Super Capacitors" Brochure, pp 1-4; Circa December 2000; Lake In the Hills, IL.				
	Kbi/Kodban Int'l. Ltd., "KAPower Installation-Operation Manual" Brochure, pp 1-12; Circa June 2000; Lake In the Hills, IL.				
	Purkey Fleet Electric, Inc., "Battery Optimizer" Brochure, pp 1-2, 1998; Rogers, AK				
	Sure Power Industries, Inc. "Low-Voltage Disconnects" Brochure, pp. 102; Circa August 1998; Tualatin, OR				

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

FORM PTO-1449  
LIST OF PATENTS AND  
APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: 26640A

SERIAL NO.: To be assigned

FILING DATE: Enclosed Herewith

APPLICANT: Purkey

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING IF APPROPRIATE
	AA	4,492,912	01/08/85	Nowakowski	320	6	
	AB	4,494,162	01/15/85	Eyler	361	29	
	AC	5,146,095	09/08/92	Tsuchiya	290	38R	
	AD	5,207,194	05/04/93	Clerici	123	179.1	
	AE	5,321,389	06/14/94	Meister	340	455	
	AF	5,925,938	07/20/99	Tamor	290	31	
	AG	6,242,887B1	06/05/01	Burke	320	104	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes -- No
	AK						

OTHER ART

(Including Author, Title, Date, Pertinent Pages, etc.)

	AL		Goodall Mfg. LLC, "Charge-All Wheel Type Battery Chargers" Brochure, p. 19 Circa Dec. 2000; Eden Prairie Minn.
	AM		IntraUSA, "The Intra Switch" Brochure; Aug. 2000
	AN		IntraUSA, "Intra Switch: Superior Cost Performance" Brochure; Aug. 2000
	AO		Kbi/Koldban Int'l. Ltd., "KAPower Super Capacitors" Brochure, pp. 1-4; Circa Dec. 2000; Lake In The Hills, Ill.
	AP		Kbi/Koldban Int'l., "KAPower Installation-Operation Manual," pp. 1-12; Circa June, 2000; Lake In The Hills, Ill.
	AQ		Purkey Fleet Electric, Inc., "Battery Optimizer" Brochure, pp. 1-2; 1998; Rogers Ark.
	AR		Sure Power Industries, Inc., "Low-Voltage Disconnects" Brochure, pp. 1-2; Circa Aug. 1998; Tualatin, Oregon

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; \* Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.